



APPENDIX B - COMPLIANCE SUMMARY

Audit #	DESCRIPTION	Finding			Comments / Items to Fix
		Y	N	N/A	
1-1	Have the permits, licences and approvals been obtained for all aspects of HW management?				
1-2	Is the facility operating in accordance with all regulatory instruments, including facility construction plans, monitoring and reporting requirements?				
1-3	Is HW assessed such that hazards are well understood and communicated to employees and reassessment occurs with new HW or new processes, (MSDS/WHMIS labeling) etc.?				
1-4	Are HW records maintained for 2 years and include descriptions, quantities, methods of management, shipping document #'s, locations of HW, etc.?				
1-5	Are shipping documents properly completed, forwarded to the right agencies and applicable parties, and records maintained?				
1-6	Is HW protected against weather damage?				
1-7	Is HW secured (protected against vehicle damage, protected against unauthorized access, no wildlife access etc.)?				
1-8	Are fire, explosion and accidental reactions prevented? (i.e. no ignition sources, no electrical sparks, no incompatible materials stored close by, easily accessible storage to fight fires)				

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1-9	Is HW stored in appropriate containers (during operations, storage, transport etc.)?				
1-10	Is spill protection and spill response equipment in place and are inspection records maintained and acted upon?				
1-11	Have Contingency Plans been developed for HW releases (include, as need be, emissions, spills, leaks, explosions) ?				
1-12	Do all employees have PPE and access to spill kits and cleanup equipment?				
1-13	Have Emergency Response Coordinators and alternates been identified and are they aware of their responsibilities?				
1-14	Are Emergency Response Plans readily available?				
1-15	Have Emergency Response Exercises and system testing been completed annually and all records kept?				
1-16	Is HW clearly identified by signage and labeling etc.? Labelling to include WHMIS, TDG and MoE requirements.				
1-17	Do employees have adequate training, including WHMIS and Emergency Response Procedures, and is their training updated as required by the regulations, and are records maintained?				
1-18	HW is not disposed or discharged into any municipal or public authority waste disposal system <u>unless authorized by a permit?</u>				